

**WILLIAM & MARY
RESOLUTIONS**

WILLIAM & MARY
APPOINTMENTS TO FILL VACANCIES IN THE
INSTRUCTIONAL FACULTY

Vacancies in the Instructional Faculty of William & Mary have resulted because of resignations, terminations or the approval of additional positions.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves the appointment of the following individuals to fill these positions effective with the dates listed below.

ADRIAN J. BRAVO, Assistant Professor of Psychological Sciences, effective August 10, 2019

B.A., William & Mary, 2012
M.S. (2014) and Ph.D. (2016), Old Dominion University

University of New Mexico
Postdoctoral Training, 2016-2018

LORI C. BLAND, Clinical Associate Professor of Curriculum Development and Research and Director of Curriculum, effective January 10, 2019

B.A., George Washington University, 1984
M.Ed. (1990) and Ph.D. (2000), University of Virginia

Gallaudet University
Consultant, 2017-2018

George Mason University
Associate Professor, 2012-2017

William & Mary
Clinical Associate Professor, 2008-2012

Pearson/National Evaluation Systems
Director, Test Development, 2002-2007

Prince William County Public Schools
Supervisor of Testing, 1998-2002

WILLIAM & MARY
APPOINTMENTS TO FILL VACANCIES IN THE
INSTRUCTIONAL FACULTY

ASHLEY Y. CARPENTER, Clinical Assistant Professor of Education and
Director of Professional Development and Publications, effective
February 25, 2019

B.S., Eckerd College, 2002
M.A, University of South Florida, 2006
Ph.D., University of Connecticut, 2019

University of Connecticut
Teaching Assistant, 2017-2018
Graduate Research Assistant, 2016-2018

SHARAN GREWAL, Assistant Professor of Government, effective August
10, 2019

B.S., Georgetown University, 2013
M.A. (2015) and Ph.D. (2018), Princeton University

American Political Science Association
Visiting Scholar, 2017-2018

IHS Jane's 360
Consultant, Summer 2016

WILLIAM & MARY
DESIGNATED PROFESSORSHIPS

The following members of the Instructional Faculty of William & Mary have been recommended for designated professorships.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves designated professorships for the following members of the Faculty, effective with the 2019-20 academic year:

KVETA E. BENES, James Pinckney Harrison Associate Professor of History

ROWAN LOCKWOOD, Margaret Hamilton Professor of Geology

PHILIP G. ROESSLER, Margaret Hamilton Associate Professor of Government

JAMIE E. SETTLE, David and Carolyn Wakefield Term Distinguished Associate Professor of Government

DOUGLAS D. YOUNG, Cornelia Brackenridge Talbot Term Distinguished Associate Professor of Chemistry

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**WILLIAM & MARY
FACULTY LEAVES OF ABSENCE**

The following faculty members have requested a leave of absence without pay or with partial pay during the 2019-20 academic year for the reasons given.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves these leaves of absence:

CAREY K. BAGDASSARIAN, Senior Lecturer of Interdisciplinary Studies, to focus on writing and creative activities during the Fall 2019 semester.

S.P. HARISH, Assistant Professor of Government, to accept a fellowship at Princeton University during the Fall 2019 semester.

WILLIAM & MARY
FACULTY PROMOTIONS

The following members of the Instructional Faculty of William & Mary have been recommended for promotion in academic rank by the appropriate departmental committees and chairs, the appropriate deans, and by the Provost and President.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves the academic promotion of the following members of the Faculty of the university, effective with the beginning of the 2019-20 academic year:

Research Assistant Professor to Research Associate Professor

Jan R. McDowell, School of Marine Science

Assistant Professor to Associate Professor

Stephanie J. Blackmon, School of Education

Eddie Rice Cole, School of Education

Jozef J. Dudek, Department of Physics

Donglai Gong, School of Marine Science

Monika Gosin, Department of Sociology

Christopher J. Hein, School of Marine Science

Calvin Hui, Department of Modern Languages and Literatures

Chelsey E. Johnson, Department of English

Meredith W. Kier, School of Education

John W. Lopresti, Department of Economics

Kara T. Thompson, Department of English

Associate Professor to Professor

Eric D. Chason, Law School

Thomas J. McSweeney, Law School

James Y. Stern, Law School

WILLIAM & MARY
AWARD OF ACADEMIC TENURE

The following members of the Instructional Faculty at William & Mary have been recommended for the award of academic tenure by the appropriate departmental committees and chairs, the appropriate deans, and by the Provost and President.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves the following members of the Faculty be awarded academic tenure, effective with the beginning of the 2019-20 academic year:

Stephanie J. Blackmon, School of Education

Eddie Rice Cole, School of Education

Myriam L. Cotten, Department of Applied Science

Jozef J. Dudek, Department of Physics

Donglai Gong, School of Marine Science

Monika Gosin, Department of Sociology

Christopher J. Hein, School of Marine Science

Calvin Hui, Department of Modern Languages and Literatures

Chelsey E. Johnson, Department of English

Meredith W. Kier, School of Education

John W. Lopresti, Department of Economics

Thomas J. McSweeney, Law School

James Y. Stern, Law School

Kara T. Thompson, Department of English

**WILLIAM & MARY
RESOLUTION TO APPROVE A BACHELOR OF ARTS PROGRAM IN LINGUISTICS**

WHEREAS, the William & Mary Faculty of Arts & Sciences proposes to offer an undergraduate program that will confer a Bachelor of Arts (B.A.) Degree in Linguistics starting in January 2020; and

WHEREAS, this undergraduate interdisciplinary program has been developed by faculty members in Linguistics, English, Anthropology, Psychological Sciences, Africana Studies, and Modern Languages & Literatures and is supported by the Arts & Sciences senior administration; and

WHEREAS, the proposal has received approval from the Arts & Sciences Educational Policy Committee in November 2018 and the Faculty of Arts & Sciences in December 2018; and

WHEREAS, the demand for employees with technical skills in linguistics and an understanding of cross-cultural differences in communication is growing and this program will prepare students for a broad range of careers and offer students credentials that are highly desirable to employers; and

WHEREAS, this program will utilize the core competencies of existing faculty members in the areas of Linguistics, English, Anthropology, Psychological Sciences, Africana Studies, and Modern Languages & Literatures who have the expertise to teach and shepherd this program successfully;

THEREFORE, BE IT RESOLVED, That upon recommendation of the President and the Provost, the Board of Visitors approves the creation of a Bachelor of Arts in Linguistics program, which will be taught and administered by the Faculty of Arts & Sciences.

BE IT FURTHER RESOLVED, That the university will create this new program in compliance with all requirements of the State Council of Higher Education for Virginia (SCHEV) and the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC).

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WILLIAM & MARY
RESOLUTION TO APPROVE A DOCTOR OF JURIDICAL SCIENCE

WHEREAS, the William & Mary Law Faculty proposes to offer a doctoral program that will confer a Doctor of Juridical Science (S.J.D.) Degree starting in August 2019; and

WHEREAS, this doctoral program has been developed by faculty members in the Law School and is supported by the Law School senior administration; and

WHEREAS, the proposal has received approval from the Law Faculty in October 2018; and

WHEREAS, there is growing demand for lawyers who can compete in the global legal market and who are trained in the U.S., this program will provide foreign attorneys who previously obtained a Master of Laws in the U.S. with additional training that will make them more desirable to employers internationally, enrich the globalization of our community with non-U.S. attorney students making the scholarly contribution of a required dissertation, and signal internationally that the William & Mary Law School is as competitive as other institutions around the world that offer this advanced level of legal study; and

WHEREAS, this program will utilize the core competencies of existing faculty members in Law who have the expertise to teach and shepherd this program successfully;

THEREFORE, BE IT RESOLVED, That upon recommendation of the President and the Provost, the Board of Visitors approves the creation of a Doctor of Juridical Science, which will be taught and administered by the Law School Faculty.

BE IT FURTHER RESOLVED, That the university will create this new program in compliance with all requirements of the State Council of Higher Education for Virginia (SCHEV) and the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC).

WILLIAM & MARY
RETIREMENT OF JOHN W. CONLEE
DEPARTMENT OF ENGLISH

Professor John W. Conlee received his B.A. from the University of Southern California with a major in English. He earned his M.A. and Ph.D. in English from the University of Illinois. Professor Conlee joined the faculty of William & Mary in 1968 as an Assistant Professor of English. He was promoted to Associate Professor 1972, and to Professor in 1991. He was Director of Graduate Studies in English from 1979-82, and served as Chair of the English Department from 1982-85 and again from 1989-92. He won the Jefferson Teaching Award in 1972, and the Alumni Fellowship Award for Outstanding Teaching in 1985.

Professor Conlee's research focuses on medieval English and Anglo-Saxon literature, especially Arthurian literature and early Celtic narratives. His academic books include scholarly editions of the complete works of fifteenth-century Scottish poet William Dunbar and of the *Prose Merlin*, the first work of Arthurian literature written in English prose, and a critical anthology of Middle English debate poetry. Professor Conlee is also a distinguished and prolific writer of adult and middle-school fiction, including, most recently, *Cataclysm*, *The Brothers Pendragon*, and *Rounding Third*.

Professor Conlee's passion for the medieval world made him a much-beloved and sought-after teacher. His course on early Celtic narrative became something of a cult, and his courses on medieval and Arthurian literature frequently had long waiting lists. In an era when undergraduates frequently express a preference for courses on recent and contemporary literature, Professor Conlee made Middle English the cool place to be. His patience, kindness and sense of humor have endeared him to generations of students as a mentor and adviser. He has taught in numerous study abroad programs, sharing his knowledge and wit with students on both sides of the Atlantic.

Professor Conlee has also served the English department and the university in numerous leadership roles. He chaired the English department for six years in total, and directed graduate studies in the Department for three years. He was also Chair of the university's Study Abroad Committee. He has been a strong voice in campus policy on admissions and athletics, serving on both the Admission Policy Advisory Committee and the Athletics Policy Advisory Committee. He was a Faculty Athletics Representative to the NCAA for ten years. In all these roles, Professor Conlee was valued for his wisdom, shrewdness and experience.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of John W. Conlee; expresses its appreciation for his many contributions to William & Mary; and approves, with deep gratitude for his fifty-one years of service, a change in status from Professor of English to Professor of English, Emeritus.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor John W. Conlee with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY
RETIREMENT OF WILLIAM J. HAUSMAN
DEPARTMENT OF ECONOMICS

William J. Hausman received his B.A. in economics from William & Mary in 1971. He earned his Ph.D. in economics from the University of Illinois in 1976, following a semester as a Social Science Research Council fellow at the London School of Economics. His first academic position was as an Assistant Professor at the University of North Carolina, Greensboro. He joined the faculty at William & Mary in 1981 as Associate Professor of Economics, was promoted to Professor 1988, and was made Chancellor Professor in 2001.

Professor Hausman has immensely enjoyed teaching his specialty, economic history – U.S., European, and global. He has taught seminars on the Great Depression, the history of regulatory agencies, and business history. His favorite class, however, which he taught fifty-three times at William & Mary, was introductory statistical analysis, a subject he believes is essential for understanding the modern world.

Professor Hausman was also heavily invested in service and governance activities. He served three times as chair of the Department of Economics. He served two terms on the Faculty Assembly, was President of the Assembly in 2010-11, and was the faculty representative on the Board of Visitors in 2012-14. He chaired the Arts & Sciences Faculty Affairs Committee, served on the Faculty Compensation Board, the Dean's Advisory Council, the Faculty Research Committee, and the Landscape, Environment, and Energy Committee, and many other committees over the years. He was Treasurer of William & Mary's chapter of Phi Beta Kappa for eighteen years. In the professional community, he served for many years as Secretary-Treasurer of the Business History Conference, was president of the organization in 2006-07, and was founding editor of the organization's refereed journal, *Enterprise and Society*.

Professor Hausman has been an active scholar. His research interests and publications have focused broadly on the economic history of energy; more specifically on coal and electricity, both domestic and global; and on the history of business/government relations, particularly regulation. He has published over fifty articles or book chapters, over one hundred book reviews, and has authored, co-authored, or co-edited three books, including *Global Electrification: Multinational Enterprise and International Finance in the History of Light and Power, 1878-2007*, Cambridge UP, 2008 (with Mira Wilkins and Peter Hertner).

At the end of his distinguished academic career, and especially after a 50+ year relationship with William & Mary (as a student, alumnus, and faculty member), the university retains his deep respect and love.

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BE IT RESOLVED, That the Board of Visitors acknowledges the retirement William J. Hausman; expresses its appreciation for his many contributions to William & Mary; and approves, with deep gratitude for his thirty-eight years of service, a change in status from Chancellor Professor of Economics to Chancellor Professor of Economics, Emeritus.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor William J. Hausman with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY
RETIREMENT OF COLLEEN KENNEDY
DEPARTMENT OF ENGLISH

Professor Colleen Kennedy received her B.A. in English from the University of Texas, San Antonio, and her M.A. and Ph.D. in English from the University of California, Irvine. After two years as a Visiting Assistant Professor of English at William & Mary, Professor Kennedy spent a year at California State University, Northridge, before returning to the university as an Assistant Professor of English and Director of Writing in 1988. She was promoted to Associate Professor in 1994. She won the Alumni Fellowship Award for Outstanding Teaching in 1994, the Jefferson Teaching Award in 1998, and the Shirley Aceto Award for exceptional commitment to excellence in service in 2013.

As an inspiring and accomplished teacher, Professor Kennedy has contributed in numerous ways to the writing program, the English department, and the Program in Film and Media Studies. A scholar of contemporary literature, theory, and film, Professor Kennedy has taught equally successfully at all levels of the curriculum, from first-year writing seminars to advanced research. Beloved as a classroom teacher and as a mentor, Professor Kennedy brings energy, humor and creativity to her teaching. Her interdisciplinary approach has brought dozens of students into the Literary and Cultural Studies (now Film and Media Studies) Program. As the inaugural director of one of the most ambitious joint degree programs in the country – the Joint Degree Programme with St Andrews University in Scotland – Professor Kennedy has pioneered research on institutional strategies and procedures for programs like this one.

Professor Kennedy's contributions to service and administration at the university have been outstanding. Some highlights are: her central role in the curriculum review of the early 1990s, when she helped develop both the freshman seminar requirement and the general education requirements (GERs); her years as Assistant to the President under President Tim Sullivan; her multiple leadership roles on the Faculty Assembly and the reforms she enacted while there; her work over many years on refining the *Faculty Handbook*; and finally, her work as Director of the Joint Degree Programme, an exceptionally difficult and demanding job which Professor Kennedy carried out with vision, thoughtfulness and panache.

Professor Kennedy is an extraordinary colleague and teacher, and in her many roles, her effect on campus and academic life has been transformative.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Colleen Kennedy; expresses its appreciation for her many contributions to William & Mary; and approves, with deep gratitude for her twenty-five years of service, a change in status from Associate Professor of English to Professor of English, Emerita.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor Colleen Kennedy with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY
RETIREMENT OF ROSA ALEJANDRA LUKASZEW
DEPARTMENT OF PHYSICS

Rosa Alejandra “Ale” Lukaszew received her Physical Chemistry Licentiate from the University of Buenos Aires in 1980. From 1980 to 1990, she worked as a Research Scientist with the National Atomic Energy Commission of Argentina. She then began her graduate studies, ultimately earning a Ph.D. in Physics from Wayne State University in 1996. After three years of postdoctoral work at the University of Michigan, she was hired by the University of Toledo as an Assistant Professor in 2001 and was promoted to Associate Professor in 2004. Professor Lukaszew became a Distinguished Virginia Microelectronics Consortium (VMEC) Professor at William & Mary in 2007, first as a member of the Applied Science department and later as a member of the Physics department.

Professor Lukaszew’s research is in the area of experimental condensed matter physics, and has focused on thin films and nanoscale science. She has over 90 refereed publications and has given numerous invited talks. Her work has been recognized through a number of significant honors, including her selection as a Senior Member of the Optical Society of America in 2017. Her current service as a Program Manager at the Defense Advanced Research Project Agency (DARPA) is an indication of the importance of her research and her stature in her field.

Professor Lukaszew has shared her scientific insights and her enthusiasm for condensed matter research in her prodigious mentoring of research students. She has mentored a total of 15 graduate students at either the masters or doctoral level, and 25 undergraduate research students, including, but not limited to, senior and honors thesis students. Her research students have benefited from her remarkable talent at acquiring external grant support and other funding, which exceeds \$10 million integrated over her career. A broad cross-section of William & Mary students have benefited from her pedagogical talent at making Physics accessible and exciting for non-majors. To this end, two of her courses are of particular note: her innovative freshman seminar “Physics and Theater,” and her contribution to the COLL 100 curriculum, “Scales of the Universe.”

Aside from departmental committee assignments, Professor Lukaszew’s service to the university has included her membership on an ad hoc committee on Engineering, Design and Innovation (2015-16), and on a Faculty Research Committee (2012-15) that she also chaired; both committees reported directly to the Provost. In the wider community, she served on the VMEC Operations Committee (2007-16) and as the VMEC Scholars Program Chair (2010-11). She has served as a reviewer for several prestigious journals in her field, as well as a panelist and proposal reviewer for the National Science Foundation, the Department of Energy and the Department of Defense.

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BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Rosa Alejandra Lukaszew; expresses its appreciation for her many contributions to William & Mary; and approves, with deep gratitude for her twelve years of service, a change in status from Professor of Physics to Professor of Physics, Emerita.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor Rosa Alejandra Lukaszew with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY
RETIREMENT OF JOHN H. OAKLEY
DEPARTMENT OF CLASSICAL STUDIES

John H. Oakley received his B.A. from Rutgers University with a major in Ancient History with High Distinction. He earned his M.A. in Classics and Ph.D. in Classical Art and Archaeology (joint program between Art History and Classics) from Rutgers University. Professor Oakley joined the faculty of William & Mary in 1980 as an Assistant Professor of Classical Studies. He was promoted to Associate Professor in 1986, and Professor in 1993.

Professor Oakley has served the university community in countless ways. He has been on a number of university and Arts & Sciences committees, including the Acquisitions Committee for the Muscarelle Museum; Educational Policy Committee; Promotion, Retention, and Tenure Committee; Faculty Assembly; Faculty Affairs; and many others. Professor Oakley has also been a highly effective, long-standing member of numerous important committees within the department, including two terms as Chair of the department; Personnel Committee; Faculty Advisor to the Classical Studies Club; Freshman and Concentrator Advisor. In the wider community, Professor Oakley has been a frequent referee for peer-reviewed journals and promotion reviews. He has also organized international conferences and panels for professional organizations on aspects of Greek art. In addition, he has been active with the Archaeological Institute of America as a member of the advisory board as well as on several committees.

As a scholar, Professor Oakley's research interests and publications have focused on Greek art and archaeology. He has had a significant impact on his research field. His essay on the Achilles Painter is featured on the Perseus Project, a major digital resource for students and scholars of Classical Studies. He has organized many international conferences on vase painting, and has published an impressive number of handbooks, exhibit guides, articles, and book chapters. In addition, he has written nearly forty reviews of books on Greek art, which speaks strongly to the fact that Professor Oakley is a widely-recognized and highly respected voice in his field of research.

The major focus of Professor Oakley's career, however, has been on our students. His passion for teaching has remained undiminished over time. His deep commitment to our teaching mission at the university has been an inspiration to us all. Through his dedication to excellence, Professor Oakley has developed generations of informed, thoughtful citizens in his many, diverse courses. His course on Greek Vase Painting, in particular, has been a perennial favorite; and he utilized the talents of his students in that course to help prepare for the Muscarelle Museum exhibit on "Greek Vases in Early America." The students wrote many of the informational cards for the artifacts on display.

Another hallmark of Professor Oakley is his exceptionally effective out-of-class mentoring. Colleagues and students alike place great value on their interactions with Professor Oakley and have been deeply affected by his keen intellect and wisdom, his understanding, and his compassion. Professor Oakley is never too busy to discuss his own work or help those of us who are not experts in Greek art understand the finer points as the need might arise for classroom use or even publication. When alumni return for homecoming, they regale the newer faculty with their own fond memories of their classes with Professor Oakley. His students have remarked on his tips for improving confidence and skill in the Greek language, his impressive in-depth command of the ancient world and its culture, and his approachability and compassion. In the words of one his students: "It has been a joy to work with Professor Oakley for Greek. He is both an impressive scholar and a lovely person."

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of John H. Oakley; expresses its appreciation for his many contributions to William & Mary; and approves, with deep gratitude for his thirty-nine years of service, a change in status from Professor of Classical Studies to Professor of Classical Studies, Emeritus.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor John H. Oakley with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY
RETIREMENT OF MARC LEE RAPHAEL
DEPARTMENT OF RELIGIOUS STUDIES

Marc Lee Raphael received his B.A. from the University of California, Los Angeles, where he was a member of the freshman basketball team that would go on to win the NCAA championship under John Wooden. He earned his Master's from Hebrew Union College in Cincinnati and his Ph.D. from UCLA. He began his fifty-year teaching career at the Hebrew Union College's Los Angeles campus while still a graduate student at UCLA. He taught for twenty years at The Ohio State University, where he mentored several students through their Ph.D. Professor Raphael joined the faculty of William & Mary in 1989 as the Nathan and Sophia Gumenick Chair of Judaic Studies. He chaired the Religious Studies department for twelve years and created the interdisciplinary program in Judaic Studies, which he has directed continuously since its inception. He was a visiting professor at the University of Oxford, Brown University, and Case Western Reserve University.

Professor Raphael ranks among the world's leading authorities in Judaic Studies, particularly the history of Judaism in America. His groundbreaking methodology that produced (in 1979) *Jews and Judaism in a Midwestern Community: Columbus, Ohio 1840-1975* steered the field into close considerations of lived Judaism in the United States, utilizing archival sources. These same concerns drove *Towards a "National Shrine": A Centennial History of Washington Hebrew Congregation 1855-1955* (2005). Professor Raphael's work gained further reach with *Profiles in American Judaism* (1988), the magisterial *Judaism in America* (2003), and *The Synagogue in America* (2011), cementing his reputation as the major figure in his field. Professor Raphael has additionally edited several scholarly volumes, most notably, *The Columbia History of Jews and Judaism in America* (2009). He shaped the direction of the field by editing *American Jewish History*, the premier journal in the field, for twenty years. His scholarship has been recognized with a National Endowment for the Humanities fellowship, an American Council of Learned Societies fellowship, and a Senior Guggenheim fellowship.

In constructing and leading William & Mary's program in Judaic Studies, Professor Raphael established strong relationships with Jewish communities, leading both to academic exchanges with local rabbis and synagogues and prolific fundraising. He raised millions of dollars to provide Judaic Studies with a firm foundation; indeed, he wrote the book on fundraising (*Understanding American Jewish Philanthropy*, 1979).

In his thirty years at William & Mary, Professor Raphael taught two dozen courses, ranging from an introduction to Judaism to upper level courses in Jewish scripture and mysticism and the poetry of Leonard Cohen. He guided generations of students and built relationships that span decades. Indeed, one of his former W&M students is now a member of our faculty.

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Colleagues and students alike will miss Professor Raphael; they join us on this occasion to celebrate his accomplishments.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Marc Lee Raphael; expresses its appreciation for his many contributions to William & Mary; and approves, with deep gratitude for his thirty years of service, a change in status from Professor of Religious Studies to Professor of Religious Studies, Emeritus.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor Marc Lee Raphael with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY
RETIREMENT OF GEORGE T. RUBLEIN
DEPARTMENT OF MATHEMATICS

George T. Rublein received his B.S. from Saint Mary's University at San Antonio, Texas with a major in Physics. He earned his M.S. in physics and Ph.D. in mathematics from the University of Illinois at Urbana-Champaign. He joined the faculty of William & Mary in 1966 as an Associate Professor of Mathematics, after three years as an Assistant Professor at Purdue University.

In his 53 years of service to the university, Professor Rublein has served the university community in countless ways. He has been on a number of university and Arts & Sciences committees, including the Faculty Assembly (Vice President in 1995-96, President in 1996-97), Faculty Affairs Committee, Secretary to the Faculty of Art & Sciences, Nominations and Elections Committee, Strategic Planning Committee, GER-1 Working Group, and Budget Policy Advisory Committee. He was the director of the Applied Science program when its Ph.D. program in computer science was approved by SCHEV. He was the Chair of the Department of Mathematics from 1992-98 and 2012-18. During his first tenure as chair, he completed the transformation of the department from a teaching unit to a department strong in both research and teaching.

Professor Rublein taught a wide variety of courses, including the Mathematics of Powered Flight, an innovative course for non-math students, and his textbook for the course "Fear of Flying by the Numbers" (Pearson, 2009) is now in its fourth edition. Much of the work on that book was accomplished while he was an ASEE fellow at the NASA Langley Research Center in Hampton. He has led several mathematics educational initiatives for school teachers in Virginia, frequently working with faculty in the School of Education. He introduced chemistry applications into the university's beginning calculus courses. His research in mathematics education has been supported by several external grants from the NSF Course and Curriculum Development awards, and SCHEV Eisenhower awards. He currently continues work with staff of the Virginia Department of Education in development of the K-12 program in mathematics and science for students in Virginia.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of George T. Rublein; expresses its appreciation for his many contributions to William & Mary; and approves, with deep gratitude for his fifty-three years of service, a change in status from Associate Professor of Mathematics to Professor of Mathematics, Emeritus.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor George T. Rublein with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY
RETIREMENT OF NANCY J. SCHOENBERGER
DEPARTMENT OF ENGLISH

Professor Nancy J. Schoenberger received her B.A. and M.A. in English literature from Louisiana State University. She earned her M.F.A. in Creative Writing (Poetry) at Columbia University. She joined the faculty of William & Mary in 1989 as the Writer in Residence. Subsequently, she became an Assistant Professor at the university, was promoted to Associate Professor in 1998, and to Professor in 2006. She has directed the Creative Writing Program since 2007. She received an Alumni Fellowship Award for outstanding teaching in 1998, and a Plumeri Award for Faculty Excellence in 2014.

Professor Schoenberger is a prolific and award-winning poet, as well as author of six biographies, four co-authored with Sam Kashner. Her books – many of them focused on Hollywood and other celebrities – tell the life stories of subjects as disparate as Oscar Levant and Caroline Blackwood. Her riveting accounts of partnerships (*Furious Love*, about Elizabeth Taylor and Richard Burton; *Wayne and Ford*, about John Wayne and John Ford, and most recently, *The Fabulous Bouvier Sisters*, about Jackie Kennedy Onassis and Lee Radziwill), were acclaimed by critics and eagerly devoured by readers: *Furious Love* and *The Fabulous Bouvier Sisters* both spent time on the *New York Times* bestseller list. Professor Schoenberger's collections of poetry, *The Taxidermist's Daughter*, *Girl on a White Porch*, and *Long Like a River* have enchanted their readers and garnered numerous prizes, including the Devins Award and the New York Poetry Prize.

Creative writing at William & Mary would be unimaginable without the contributions of Professor Schoenberger. Since her arrival as Writer in Residence in 1989, she has patiently and painstakingly built a flourishing program from scratch, developing courses, hiring faculty, and in 2013, establishing a Creative Writing minor. Professor Schoenberger's poetry, screenwriting, and nonfiction workshops have nourished and inspired generations of young writers, many of whom found their professional voices under her expert tutelage. Early in her career, Professor Schoenberger was also instrumental in reviving the annual Writers' Festival, raising money and eventually helping to secure permanent funding. Now known as the Patrick Hayes Writers' Festival, the fund supports bringing writers as eminent as Lorrie Moore and Sharon Olds to campus to work with undergraduate writers and give readings to the community.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Nancy J. Schoenberger; expresses its appreciation for her many contributions to William & Mary; and approves, with deep gratitude for her thirty years of service, a change in status from Professor of English to Professor of English, Emerita.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor Nancy J. Schoenberger with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY
RETIREMENT OF JOEL D. SCHWARTZ
DEPARTMENT OF GOVERNMENT

Joel D. Schwartz received his B.A. from the University of California, Berkeley with a major in political science. He continued at Berkeley studying political science and earned his M.A. and then his Ph.D. in 1978. Professor Schwartz joined the faculty of William & Mary in 1981 as an Assistant Professor of Government. He was promoted to Associate Professor in 1987, and Professor in 2007.

Professor Schwartz has embodied the role of scholar-teacher during his time at William & Mary, serving as a model to others for how research and teaching can mutually reinforce one another. His work has focused on democratic theory, civic engagement, and the important role that informed deliberation, by elites and the masses, can play in helping keep democracies strong. He has engaged scholars and his students on those topics with publications in elite outlets in political science, in the seminars and other courses he has offered, and in his mentoring of students conducting honors research and other independent research projects. Professor Schwartz's tremendous work has earned him many accolades including the Thomas Jefferson Teaching Award in 1985, and the distinguished Thomas Jefferson Award in 2006. In 2018, he was named an honorary alumnus of William & Mary.

The major focus of Professor Schwartz's career, however, has been as a provider of public goods that have benefited students and faculty across the university, especially in Arts & Sciences. As Dean of Honors and Interdisciplinary Studies and as Director of the Charles Center, Professor Schwartz led many efforts to build institutions, and to raise money via grant-writing, that dramatically expanded opportunities for undergraduates to conduct original research and learn via rich, rigorous experiences inside and outside the classroom.

For faculty, his work helped support innovations in classroom teaching, via seminars and public panels sponsored by the University Teaching Project. His efforts also helped launch and support interdisciplinary programs, generated by the pedagogical interests of William & Mary professors, many of which have become some of the most popular programs of study on campus. Across the years, his efforts in helping William & Mary secure ongoing support from the Mellon Foundation and other sources has helped expand course offerings for our students by bringing new scholars to campus as one-time guests or postdoctoral fellows who, in some cases, became permanent members of our faculty.

In all, students, faculty, and administrators who know Professor Schwartz describe him as an institution builder, a creative problem solver, and a risk taker who never shied away from opportunities to push the boundaries of the possible at William & Mary. They all will remember the many times that they puzzled over a vexing scholarly, pedagogical, or administrative problem and then reached a solution that was either directly or indirectly informed by a conversation or other interaction with Professor Schwartz. Anyone aspiring to give advice to a colleague about how to meet a pressing challenge never would steer a colleague wrong by offering a simple, two-word suggestion: "Ask Joel."

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Joel D. Schwartz; expresses its appreciation for his many contributions to William & Mary; and approves, with deep gratitude for his thirty-eight years of service, a change in status from Professor of Government to Professor of Government, Emeritus.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor Joel D. Schwartz with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY
RETIREMENT OF YANFANG TANG
DEPARTMENT OF MODERN LANGUAGES AND LITERATURES

Yanfang Tang received her B.A. from Hebei Teachers University in China with a major in English Language and Literature. She earned her M.A. and Ph.D. in Chinese Language and Literature from The Ohio State University. Professor Tang joined the faculty of William & Mary in 1994 as an Assistant Professor of Modern Languages and Literatures. She was promoted to Associate Professor in 1999, and to Professor in 2015.

It would be impossible to summarize all Professor Tang has contributed to the university community over the last quarter century. She was the driving force behind the creation of the Chinese minor and major, served as the Chinese Program Director almost without interruption since receiving tenure, and led the summer study abroad program in Beijing nine times, beginning in 2000. She also led the effort behind the successful installation of a Confucius Institute at William & Mary. And she did all this while regularly chairing or serving on departmental hiring, policy, and personnel review committees. Beyond the department, she served on numerous university-wide committees pertaining to internationalization, worked with various units across campus to facilitate the integration of admitted Chinese students, and collaborated with interdisciplinary programs like East-Asian Studies, Women's Studies, and Asian & Middle Eastern Studies. Her service to the wider community includes lectures and workshops on China and Chinese culture for K-12 schools and businesses in greater Williamsburg.

Remarkably, through all of the professional service, program-building and curricular development, she remained extremely active in her field of specialization, publishing an article almost every year, and writing one of the premiere textbooks in Chinese Language and Culture: *Advanced Chinese: Intention, Strategy, and Communication* (Yale University Press, 2004). Lead author of the forthcoming *Acting Chinese: An Intermediate-Advanced Course in Chinese Discourse and Behavioral Culture* (Routledge, 2019), she is currently working on another manuscript: *Meaning without Words: Mind and Methods of Traditional Chinese Poetry*. Widely respected in the field, she has served on several editorial boards and has reviewed manuscripts for some of the most prestigious scholarly journals and presses.

A deeply committed scholar-teacher, Professor Tang has made a difference not only in the professional development of the many junior faculty members she has mentored but also in countless students' lives. Her deep investment in her students has been the force behind the program's dramatic growth over the last two decades. Her efforts in this area were recognized in 2013 with the Phi Beta Kappa Faculty Award for Teaching Excellence. In 2016, Professor Tang was named Chancellor Professor of Chinese Studies. She will be sorely missed.

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BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Yanfang Tang; expresses its appreciation for her many contributions to William & Mary; and approves, with deep gratitude for her twenty-five years of service, a change in status from Chancellor Professor of Modern Languages and Literatures to Chancellor Professor of Modern Languages and Literatures, Emerita.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor Yanfang Tang with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY
RETIREMENT OF SUSAN VERDI WEBSTER
DEPARTMENT OF ART & ART HISTORY

Susan Verdi Webster received her B.A. in Art History from Reed College, her M.A. in Art History from Williams College, and her Ph.D. in Art History from the University of Texas at Austin. Professor Webster joined the faculty of William & Mary in 2008 as the Jane W. Mahoney Professor of Art & Art History and American Studies.

An internationally recognized scholar, Professor Webster's expertise spans early modern Iberian, colonial Latin American, and Pre-Columbian art and visual culture. During her time at William & Mary, she has produced a distinguished record of field-shaping scholarship both in English and Spanish. Her devotion to academic excellence has been recognized through numerous prestigious grants and awards, including Guggenheim, Fulbright, and National Humanities Center Fellowships. Professor Webster's most recent monograph *Lettered Artists and the Languages of Empire* (University of Texas Press, 2017) has been praised as "a gift to the scholarly community," "a premier English-language resource," and "a model historical study" for "the tremendous time, skill, labor, and deep knowledge that only a dedicated, mature scholar could ever accomplish."

Professor Webster has served the university community in countless ways. Her leadership and vital service on the most demanding committees—including Retention, Promotion, and Tenure, and Personnel in both Art & Art History and American Studies—and as Director of Latin American Studies, importantly advanced the mission of Arts & Sciences and the university. Professor Webster's ethical commitment to diversity and inclusion in all aspects of higher education, especially in curriculum planning and faculty hiring, has helped transform the American Studies Program and the Department of Art & Art History into a community that welcomes global perspectives and people of all backgrounds.

A major hallmark of Professor Webster's career is her unwavering dedication to the development of students, both undergraduate and graduate, and her exceptionally effective out-of-class mentoring. Her popular courses on *Art of the Andes*, *Art and Architecture of Colonial Latin America*, and *Collecting the New World* have integrated teaching excellence with scholarly inquiry, paving the way for extraordinary research opportunities, from curating exhibitions at the Muscarelle Museum to archival research trips to Quito, Ecuador. Colleagues and students alike place great value on their interactions with Professor Webster and have been deeply impacted by her keen intellect and wisdom, her understanding, and her compassion.

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BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Susan Verdi Webster; expresses its appreciation for her many contributions to William & Mary; and approves, with deep gratitude for her eleven years of service, a change in status from Professor of Art & Art History to Professor of Art & Art History, Emerita.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor Susan Verdi Webster with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY
RETIREMENT OF SHARON L. ZUBER
DEPARTMENT OF ENGLISH

Lecturer Sharon L. Zuber received her A.B. from Franklin College in Literature and Philosophy, and her M.A. in English literature from Butler University. She earned her Ph.D. in American Studies at William & Mary. Dr. Zuber joined the faculty of William & Mary in 1986 as an instructor. In 1994, she was appointed Director of the Writing Resources Center and Lecturer of English and Literary, Cultural and Film Studies. In 2004, she became a Visiting Assistant Professor, and she was promoted to Senior Lecturer in 2014. She received the Jefferson Award in 2017 and the Shirley Aceto Award in 2018; and she was a founding member of the Virginia Writing Center Association.

Dr. Zuber has been an extraordinary teacher and mentor to generations of students. In addition to teaching writing courses, literature courses, and courses in documentary film production, She has trained hundreds of student peer tutors to work at the Writing Resources Center, which she has directed for nearly twenty-five years. Students have responded with awe and admiration to her dedication, her kindness and the depth of her knowledge across a whole range of fields. Dr. Zuber has also trained dozens of faculty to teach freshman seminars, offering May seminars year after year that are designed to speak to all her colleagues – including those in less writing-intensive disciplines – and inspire them to teach writing in the most rigorous and effective way.

Dr. Zuber's collaborative research with a series of professors and students in disciplines as far from her own as biology, environmental science, and chemistry has garnered substantial grant support from institutions including the Mellon Foundation and the Virginia Foundation for the Humanities. Her video productions – nine in all – were almost all made in collaboration with undergraduates. Her celebrated documentary, *They Live in Guinea* (1996), tells the story of the impact of modernization on the traditional fishing community of Guinea Neck on the Chesapeake Bay. It was an official selection for the Virginia Film Festival, and earned multiple awards. Dr. Zuber has been a productive scholar in more traditional academic genres also, publishing an edited collection of essays in 2011, and a number of articles in peer-edited journals.

Dr. Zuber's achievements as a teacher, scholar and mentor have shaped the way we teach writing and film production at the university. She has been a model for all faculty who aspire to collaborative work, and her impressive range of skills has been an inspiration as the university shifts its curricular and research emphasis to interdisciplinary work.

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BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Sharon L. Zuber; expresses its appreciation for her many contributions to William & Mary; and approves, with deep gratitude for her thirty-three years of service, a change in status from Senior Lecturer of English to Senior Lecturer of English, Emerita.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Dr. Sharon L. Zuber with best wishes for continuing and creative work in the years ahead.

**WILLIAM & MARY
CASH MANAGEMENT INVESTMENT POLICY**

The Cash Management Investment Policy applies to the investment of operating funds belonging to the College of William & Mary and under the control of the College's appointed Board of Visitors. Under the Restructured Higher Education Financial and Administrative Operations Act, Chapter 10 Article 4 (~~§23-38.105~~ 23.1-1013) of Title 23 of the Code of Virginia, the College, as a covered institution "may invest its operating funds in any obligations or securities that are considered legal investments for public funds in accordance with Chapter 45 (§2.2-4500 et seq.) of Title 2.2. Such institution's governing board shall adopt written investment guidelines that provide that such investments shall be made solely in the interest of the covered institution and shall be undertaken with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims."

The College's operating funds and balances consist of tuition and fee revenue, expendable gift monies, endowment income, earned interest from investments, grants and sponsored research, rental income from owned properties, income received from external trusts, interest and dividends received on gifted securities, cash balances that reside in College auxiliary accounts and agency accounts, and generally any revenue received by the College of William & Mary that is deemed not to be state money and therefore subject to other mandatory depository restrictions of the State of Virginia. These institutionally ("locally") controlled operating funds are the basis of working capital from which the annual private fund and auxiliary budgets are constructed and they remain invested in short and intermediate term investments until such time as expenditures are drawn. Based on cyclical patterns of when revenue is received and funds subsequently disbursed, the invested balance of operating funds fluctuates monthly within a range between approximately \$60 million and \$125 million.

The Board of Visitors last reviewed its policy on cash management at its meeting on February 3-5, 2016. It is the practice of the College to review at least every three years this policy and its guidelines to ensure that the authorizations contained herein are in keeping with the current thinking of the Board of Visitors.

All short term and intermediate term investments as disclosed in this policy are currently managed by SunTrust Bank (and its appointed sub-advisory institutions), the depository institution used by the College. Proposed amendments herein have been reviewed and endorsed by investment management professionals at SunTrust and its assigns. If the College were to use different service providers for cash management, these same investment guidelines would apply.

RESOLVED, That the Board of Visitors of the College of William & Mary in Virginia approves the amended guidelines as the Cash Management Investment Policy for institutional funds belonging to the College of William & Mary, superseding any existing policy under the same name.

The Board of Visitors of
The College of William & Mary

Cash Management Investment Policy

Purpose and Scope

The purpose of this Cash Management Investment Policy is to articulate the investment and operational guidelines and authorizations for the non-general operating cash balances and reserves, referred to as local or private funds, under the control and oversight of the Board of Visitors. This policy is crafted to adopt best practices in the prudent management of short and intermediate-term investments, complying with laws and regulations applicable to qualified public entities in Virginia. Accordingly, this policy does not cover the College's investment of long-term or endowment assets, general funds managed on behalf of the Commonwealth, proceeds from tax-exempt bond issues, or guidelines in the procurement of banking services. Except for cash in certain legally restricted and special accounts, the College shall consolidate cash and reserve balances to optimize College-wide liquidity management, maximize its investment earnings potential, and to increase efficiencies in the cost of investment management.

Statement of Objectives

- To ensure the safety of funds held in the short-term and intermediate investment portfolio.
- To maximize investment return within the constraints of safety of principal and of maintaining sufficient liquidity to meet all operating needs and obligations that may be reasonably anticipated.

Governing Authority: Laws and Regulations

This Cash Management Investment Policy shall be governed by existing law and regulations that apply to the investment of public funds, specifically the *Virginia Security for Public Deposits Act*, Chapter 44 (§2.2-4400 et seq.) of Title 2.2 of the Code of Virginia, as amended; the *Investment of Public Funds Act*, Chapter 45 (§2.2-4500 et seq.) of Title 2.2 of the Code of Virginia, as amended; the *Uniform Prudent Management of Institutional Funds Act*, Chapter 11, (§64.2-1100 et seq.) of Title 64.2 of the Code of Virginia, as amended; and Chapter 5 (~~§23-44.1~~) 28 (§23.1-2803) of Title 23.1 of the Code of Virginia, as amended, concerning the College's investment of endowment income, gifts, standards of care, liability, and exemption from the Virginia Public Procurement Act. Further authority as it relates to the investment of operating fund reserves and balances of or held by the College is delegated to the Board of Visitors in a certain Management Agreement dated March 30, 2009, by and between the Commonwealth of Virginia and the Rector and Board of Visitors of the College of William & Mary, pursuant to the Restructured Higher Education Financial and Administrative Operations Act of 2005. Delegated authority to restructured institutions is further addressed by the General Assembly in §4-9.03

Level III Authority of the 2014-16 Appropriations Act passed on June 23, 2014: "The Management Agreements negotiated by the institutions contained in Chapters 675 and 685 Acts of the Assembly shall continue in effect unless the Governor, General Assembly, or the institutions determine that the Management Agreements need to be renegotiated or revised."

Standards of Care

The College will have the option to manage its non-general short and intermediate term operating cash reserves internally, only when necessary and feasible, and/or preferably through the exercised care of professional investment managers.

The College shall rely on the "prudent person" standard in the exercised care of its investments. Accordingly, as stated in the *Investment of Public Funds Act*, Chapter 45 (§2.2-4514 et seq.) of Title 2.2 of the Code of Virginia, investments shall be made "with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims." College employees and officials who exercise proper due diligence in following this investment policy according to the "prudent person" standard shall be relieved of personal responsibility for an individual security's credit risk or market price changes. When investments are made in conformity with *Investment of Public Funds Act*, Chapter 45 of the Code of Virginia, §2.2-4516 states that "no treasurer or public depositor shall be liable for any loss therefrom in the absence of negligence, malfeasance, misfeasance, or nonfeasance on his part or on the part of his assistants or employees." Furthermore, when deposits are made in conformity with the *Virginia Security for Public Deposits Act*, Chapter 44 of the Code of Virginia, §2.2-4410 states that "no official of a public depositor shall be personally liable for any loss resulting from the default or insolvency of any qualified public depository in the absence of negligence, malfeasance, misfeasance, or nonfeasance on his part or on the part of his agents."

Members of William & Mary community are required to adhere to a Code of Ethics which was passed by the Board of Visitors on April 17, 2009. College personnel involved with the investment of funds shall be governed by these ethical guidelines and furthermore shall take meaningful effort to refrain from actions or activities that would have the appearance of violating the nature and intent of the College's standards of ethical behavior.

Upon retention, external managers will be given a copy of this Cash Management Investment Policy and shall be required to maintain compliance with all directives stated herein. External managers shall be required to have a Code of Ethics or similar governing rules of ethical behavior that shall be disclosed to the College prior to their formal engagement. Failure to maintain compliance with these governances may result in dismissal and other potential measures of recourse.

Approved Investments

- U.S. Treasury Bills
- U.S. Treasury Notes and Bonds
- U.S. Federal Agency Obligations (including mortgage backed securities)

- Money market mutual funds (no-load open-ended investment funds) which invest in U.S. Treasury Bills, Notes, Bonds, Federal Agency Obligations, and high quality Corporate Obligations, provided such funds are registered under the Federal Investment Company Act of 1940 and rated at least "AAm" or the equivalent by a Nationally Recognized Statistical Rating Organization ("NRSRO") and properly registered for sale in Virginia under Chapter 5 of Title 13.1 ("the Securities Act") ~~the Securities Act (§13.1-501 et seq.)~~ of the Code of Virginia. Money market funds must comply with the diversification, quality, and maturity requirements of Rule 2a-7, or any successor rule, under the Federal Investment Company Act of 1940, provided the investments of such funds are restricted to investments otherwise permitted by the Code of Virginia.
- Bond mutual funds, commingled investment funds, and bank common trust funds (all classified as no-load open or closed-ended investment funds) which invest in high quality government (USD-denominated securities) and high quality corporate obligations. Funds must have an average effective maturity no longer than 5 years and an average portfolio duration no longer than 2 years. The average credit quality for a fund will carry a rating of "A" or better by two rating agencies, one of which shall be either Moody's Investors Service, Inc., or Standard & Poor's, Inc. Funds must be registered under the Federal Investment Company Act of 1940 and be properly registered for sale in Virginia under the Securities Act (§13.1-501 et seq.) of the Code of Virginia. Investments in these funds are restricted to investments otherwise permitted by the Code of Virginia for qualified public entities.
- Bankers' Acceptances that are issued by domestic banks or domestic offices of foreign banks (in USD), which are eligible for purchase by the Federal Reserve System with a maturity of 180 days or less. The issuing corporation, or its guarantor, must have a short-term debt rating of no less than "A-1" (or its equivalent) by at least two NRSROs. The amount invested in any single bank will not exceed 5% of the total funds available for investment (based on book value on the date of acquisition).
- "Prime quality" Commercial Paper with a maturity of 270 days or less and a short-term debt rating of no less than "A-1" (or its equivalent) by at least two of the NRSROs at time of purchase. Issuing corporations must be organized and operating under the laws of the United States or any state thereof and have a minimum net worth of \$50 million. The net income of the issuing corporation, or its guarantor, must have averaged at least \$3 million per year for the previous five years. All existing senior bonded indebtedness of the issuer, or its guarantor, must be rated "A" or better by at least two NRSROs.
- High quality corporate notes and obligations rated at least "A" by Moody's Investors Service, Inc., and rated at least "A" by Standard & Poor's, Inc., and a maturity of no more than five years (as allowed by the Code of Virginia).
- High quality asset-backed securities with a maximum average life of 3 years a ~~duration of no more than five years (as allowed by the Code of Virginia)~~ and a

rating of no less than "AAA" by two NRSROs, one of which must be either Standard & Poor's, Inc. or Moody's Investors Service, Inc.

- Negotiable Certificates of Deposit and Negotiable Bank Deposit Notes of domestic banks and domestic offices of foreign banks, lawfully authorized to do business in Virginia, with a rating of at least "A-1" by Standard & Poor's, Inc. and "P-1" by Moody's Investors Service, Inc., for maturities of one year or less, and a rating of at least "AA" by Standard & Poor's, Inc. and "Aa" by Moody's Investors Service, Inc., for maturities over one year and not exceeding five years. Negotiable Certificates of Deposit and Negotiable Bank Deposit Notes with any institution other than the College's primary depository shall not exceed levels of provided insurance by the Federal Deposit Insurance Corporation.
- Time Deposits in any federally insured bank or savings institution that is qualified by the Virginia Treasury Board to accept public deposits. Deposits in any such institutions shall be at levels whereby the College's money shall remain fully insured by the Federal Deposit Insurance Corporation (or collateralized in full with U.S. Government or agency securities by the College's primary depository as assets belonging to a public entity covered under the *Virginia Security for Public Deposits Act*, Chapter 44 (§2.2-4400 et seq.) of Title 2.2 of the Code of Virginia).
- Investment in overnight repurchase agreements. Certain conditions for investment shall apply:
 - When investments are made in overnight repurchase agreements, the College will require that its investments be 102% collateralized by U.S. Treasury and Agency obligations, and that this collateral be delivered to the College's custodian bank and identified according to Uniform Commercial Code book entry procedures on the custodian's books as property belonging to the College of William & Mary;
 - A master repurchase agreement or specific written repurchase agreement shall govern the transaction;
 - The securities are free and clear of any lien;
 - Qualified counterparties must be either member banks of the Federal Reserve System having total assets of more than \$10 billion and that are among the 50 largest commercial banks in the U.S.; or, non-bank dealers who that are designated by the Federal Reserve Bank of New York as primary dealers in government securities and report daily to the Federal Reserve Bank of New York;
 - The counterparty must have a long-term credit rating of at least "AA" or the equivalent from an NRSRO, a short-term credit rating of at least "A-1" or the equivalent from an NRSRO, been in business for at least 5 years, and be reputedly known among market participants.
- State and Municipal Obligations consisting of bonds, notes, and other general obligations of any state or municipal authority organized within the United States upon which there has been no default and having a rating of at least "AA" by

Standard & Poor's, Inc., and "Aa" by Moody's Investors Service, Inc., and maturing within two years of the date of purchase. Purchase of State and Municipal Obligations must comply with guidelines and restrictions found in the Code of Virginia.

- Local Government Investment Pool (LGIP). This highly diversified money market fund, administered by the Treasury Board of the Commonwealth of Virginia, referenced in Chapter 46 (§2.2-4600 et seq.) of Title 2.2 of the Code of Virginia (*Local Government Investment Pool Act*), offers public entities in Virginia a convenient and cost effective means to invest short-term monies adhering to all investment guidelines required by the Code of Virginia. The LGIP is rated "AAAm" by Standard & Poor's, Inc. (as of ~~June 30, 2015~~ September 26, 2018) and must be rated "AAm" by Standard & Poor's, Inc. for the College's investment purposes.

Diversification of Approved Investments

The maximum allowable percentage of the College's short-term portfolio permitted in each single asset category is as follows along with the maximum limits allowed in the Code of Virginia:

| | <u>CWM</u> | <u>Code VA</u> |
|--|------------|----------------|
| - U.S. Government securities and its agencies | 100% | 100% |
| - Repurchase Agreements with Required U.S. Gov't Collateral | 100% | 100% |
| - Money Market Mutual Funds (100% U.S. Gov't & Agencies) | 100% | 100% |
| - Money Market Mutual Funds (Diversified) | 35% | 100% |
| - Commingled Investment Funds or Bank Common Trust Funds | 50% | 100% |
| - Bond Mutual Funds (100% U.S. Gov't & Agency Obligations) | 100% | 100% |
| - Bond Mutual Funds (Diversified) | 50% | 100% |
| - "Prime Quality" Commercial Paper (A-1 P1) | 35% | 35% |
| - High quality Corporate Debt (A ratings by Moody's and S&P) | 50% | 100% |
| - Bankers' Acceptances | 35% | 100% |
| - Certificates of Deposit and Bank Deposit Notes | 35% | 100% |
| - Insured and/or collateralized bank deposits | 50% | 100% |
| - Asset-backed securities | 35% | 100% |
| - State and Municipal Obligations | 20% | 100% |
| - State run LGIP | 100% | 100% |

Prohibited Investments

Without the expressed consent of the Board of Visitors, evidenced by signatory authorization from the Senior Vice President for Finance and Administration, the following investments are prohibited:

- Floating rate notes with maturities under two years that have any embedded leverage or option ability (e.g., caps, floors, multiple reset features, etc.), however, U.S. Agency adjustable rate mortgage backed securities (ARMs) are permitted investments.
- Floating rate notes with maturities over two years
- Structured notes
- Swaps
- Other derivatives
- High Yield and Distressed Debt
- Reverse repurchase agreements
- Borrowing funds for the purpose of reinvesting the proceeds of such borrowing
- Investing in any security not specifically permitted by this Policy

Where written consent is given for investment in any of these categories, the Board of Visitors will require the investment manager to adhere to specific safeguards.

Investment Limits

No more than 5% of the portfolio shall be invested in specifically held securities of any single issuer. A single issuer shall incorporate all debt issued by parent, subsidiary, and affiliated companies, thereby limiting investment exposure to any one holding company or entity.

The following exceptions to this 5% single issuer limit shall apply:

- | | |
|--|--------------|
| - U.S. Treasury obligations | 100% maximum |
| - Money market funds of only U.S. Gov't and Agency obligations | 100% maximum |
| - Bond mutual funds of only U.S. Gov't and Agency obligations | 100% maximum |
| - State run LGIP | 100% maximum |
| - Each Federal Agency (held as discrete investments) | 50% maximum |
| - Diversified money market and mutual funds | 35% maximum |
| - Other types of mutual and commingled funds | 35% maximum |

There will be no more than \$20 million invested in a Core Balance strategy, separate from that of cash required for the College's immediate operating needs and liquidity requirements. This Core Balance strategy will be a part of the overall short-term investment portfolio and similarly follow allocation guidelines for approved and prohibited investments, albeit with longer average maturity and duration limits.

Foreign Securities

The direct purchase of discrete foreign securities that are not denominated in U.S. dollars will be prohibited in any College account set up under these guidelines for short and intermediate term investment. U.S. dollar denominated sovereign and supranational bonds (Yankee bonds) with ~~one year or less of~~ maturity of up to 3 years will be permitted for investment. Should a company whose security is held in a short or intermediate term investment account be acquired by a foreign company, the security may remain in the portfolio as long as it is determined by the portfolio manager that it is in the best economic interest of the College to hold the security for the short term. Exempt from this prohibition on foreign securities are high quality money market and commingled funds which may have foreign securities as a small component of the fund.

Security Downgrades

In the event that any security or fund held in the investment portfolio is downgraded below the rating requirement required by this policy, the security or fund shall be sold within ~~60~~ 45 days of such downgrade. However, if the downgraded security is near to maturity, within 90 days, the investment manager may continue to hold the security until maturity if the manager believes the downgrade does not present an inherent risk to achieving full redemption at par and that holding the security would be the best course of action for the university.

Risk Parameters

Investment of the College's operating cash will be undertaken by managing those risks that can affect the value of investments. Investment firms engaged by the College will be required to continuously monitor the various types of risk inherent in a diversified institutional investment portfolio.

Interest rate risk will be controlled primarily by investing in bonds with various maturities. Controlling the portfolio's average maturity and duration will also mitigate the negative effects of interest rate risk. At no time will any individually owned security have an effective and/or legal maturity longer than 5 years. An overnight investment operating account will be used in conjunction with a sweep vehicle to/from the College's main depository account. This operating account will be designed with instruments that provide immediate liquidity. **The short-term portfolio used for extended liquidity management, exclusive of the Core Balance strategy, shall maintain a weighted average effective maturity not to exceed 24 months and an average duration not to exceed 15 months.** Core Balance investments, when managed separately in a discrete portfolio, shall maintain a weighted average effective maturity not to exceed ~~60~~ 36 months and an average duration not to exceed 24 months. Core Balance investments represent balances for which the College has no immediate liquidity needs and can therefore be invested with a longer term horizon.

Credit risk is managed primarily by limiting the opportunity set to certain approved high quality investments as reflected above that conform to Virginia statutes. Rating agencies, herein referred to as NRSROs, such as Moody's and Standard & Poor's, will be used as a measure to evaluate and monitor credit risk of held investments.

Counterparty risk will be continually monitored to ensure the continued financial strength and investment quality of the issuer.

Liquidity Constraints

Without the prior approval of the Senior Vice President for Finance and Administration of the College, no investments in specific securities shall be made where maturities exceed 3 years (where 5 years is the maximum permitted by this policy). Weighted average life will be used as a proxy for maturity date for mortgage backed securities. The portfolio shall maintain adequate liquidity to ensure the College's ability to meet its operating requirements. At all times, the College shall maintain sufficient liquidity on an overnight basis to ensure clearance of its known payments and unanticipated presentment of checks. The College and its engaged investment manager(s) shall constantly monitor cash levels and maintain a portfolio structure that allows sufficient liquidity within time periods of a day, week, month, and six-months, taking into consideration fluctuating cash levels associated with cyclical revenue and expenditure patterns.

Authorized Personnel and Delegated Authority

The Senior Vice President for Finance and Administration of the College or such person(s) he/she designates in writing is (are) authorized to make investment decisions under this policy for the College of William & Mary, including the selection and contractual engagement of professional investment firms that would adhere to the guidelines presented herein and that of generally accepted prudent fiduciary practices.

The Senior Vice President for Finance and Administration and certain members of his/her staff shall also be responsible for maintaining internal controls that appropriately safeguard the investable assets of the College consistent with this policy and the permissions given for types of investment and engagement of external professional management. Professional investment management firm(s) engaged by the College MUST be registered with the Securities and Exchange Commission under the Investment Advisors Act of 1940, showing proof of such prior to their hiring. Before an organization can provide investment management services to the College, it must confirm in writing that it has reviewed this Policy and is able to fully comply with all requirements. Furthermore, the organization must submit the following materials:

- Proof of its registration with the SEC under the Investment Advisers Act of 1940;
- An annual updated copy of Form ADV, Part II;
- Proof of its registration to conduct business in the Commonwealth of Virginia;
- Demonstrable evidence in providing like services under the *Investment of Public Funds Act*, Chapter 45 of the Code of Virginia; and
- Confirm use of only qualified security brokers/dealers. To achieve qualification, the broker/dealer should be financially stable and have the ability to effectively execute buy and sell transactions. The engaged manager(s) will maintain a list of qualified brokers/dealers who meet the criteria of this Policy; and
- Confirm that procedures for best execution will always be followed and that soft dollar commissions or credits for College asset trades will not be collected or otherwise utilized in the execution of investment services

Reporting Requirements

Engaged investment managers shall ~~be required to prepare and deliver~~ coordinate and reconcile transactions with the College's custodian in order to provide comprehensive monthly accounting and analytical reports ~~to the College~~ that provide the following information:

- Monthly buy and sell transactions;
- Monthly realized gains and losses on sales;
- Month-end holdings that show amortized book value, maturity date, yield-on-cost, market value and other features deemed relevant;
- Monthly transactions that account for income, fees and disbursements, purchase of accrued income, and other relevant activity; and,
- Monthly performance of asset categories and the aggregate portfolio.

Engaged investment managers shall be required to prepare and deliver comprehensive quarterly investment reports to the College that provide the following information:

- Summary of recent market conditions, economic developments, a forecast of future economic activity, and an assessment of how the investment manager fared in the investment of the College's funds;
- A comprehensive quarter-end holdings report that further shows the calculated total rate of return for the quarter and year-to-date versus appropriate benchmarks; and,
- Any areas of policy concern warranting discussion with College officials, as in the case of recommended revisions.

Performance Benchmarks

Investment performance of the portfolio shall be monitored and evaluated using various individual benchmarks that have similar maturity, duration, and risk characteristics of those investments held in the portfolio. Overall portfolio performance shall be evaluated on an ongoing basis by management, taking into consideration asset allocation, quality and safety factors, maturities and implied risks, and various external market factors.

Accordingly, the College shall use the 91 Day Treasury Bill Index as the primary benchmark in review of investment performance and may use other benchmarks such as the Merrill Lynch 3-month and 6-month Treasury Bill Indexes ~~and/or~~, the Bloomberg Barclays Capital ~~3-6 3~~ and 6 Month Treasury Bill Index, and custom benchmarks including a Treasury bill component along with the Bloomberg Barclays 1-3 Year Government Index for purposes of comparability.

Performance Review and Evaluation Requirements

External managers shall be ~~formally~~ evaluated by ~~management at least on~~ internal staff on an annual basis according to certain criterion that was used in the process of engagement as well as any other more contemporaneous factors that should be noted in a ~~formal~~ thorough evaluation. An overall rating of anything less than satisfactory will be cause for dismissal.

Monitoring the Cash Management Investment Policy

The Board of Visitors or its designated subcommittee thereof shall review this policy at least once every three years in order to ensure that the governances, provisions, and guidelines stated herein appropriately reflect the perspective and risk tolerances of the Board with respect to current market conditions. Management Staff will inform the Board of any violations to this policy, discussed below, and extenuating results.

Occurrences of Non-Compliance with the Policy and Process of Resolution

Compliance with stated guidelines in this policy is a requirement. Violations of any kind will require full explanation of the event. Management Staff will evaluate the severity of the violation, the circumstances by which it occurred, and the manager's corrective response, and recommend to the Senior Vice President for Finance and Administration suggestions for subsequent action. Management will then decide what recourse to pursue in dealing with the manager. Should the infraction be serious enough, the manager will be immediately dismissed. Multiple or recurring infractions may warrant immediate dismissal. Lesser infractions will also be documented and discussed with the manager's senior representatives. Resolution and types of restitution will be discussed with the manager depending on the severity of the violation.

WILLIAM & MARY
REVISIONS TO THE MOTOR VEHICLE
PARKING AND TRAFFIC RULES AND REGULATIONS

The College of William & Mary has amended its parking rules and regulations to more efficiently control transportation on the campus.

The proposed revised regulations set forth herein have been developed by the Office of Parking & Transportation Services with the advice of the Parking Advisory Committee and in turn have been endorsed by the Senior Vice President for Finance and Administration.

The purpose of these regulations is to reduce traffic congestion, facilitate orderly parking, provide a safe campus environment, and to promote the fair and consistent enforcement of rules.

The regulations are applicable to all persons owning or operating a motor vehicle on William & Mary properties. Accordingly, jurisdiction extends to all university roads and grounds.

The Board of Visitors has authorized the offices of Parking & Transportation Services and the W&M Police Department to provide for the safety of persons on university property by enforcing these rules and regulations. These regulations have been established to meet the specific need for control of motor vehicles on university property. The Code of Virginia (§ 23-9.2:3) grants to the Board of Visitors the power to provide parking and traffic rules and regulations on property owned by the College and the district courts require the Board of Visitors' approval for local enforcement of motor vehicle regulations.

BE IT RESOLVED, That upon recommendation of the Senior Vice President for Finance and Administration, the Board of Visitors hereby amends the College of William & Mary Motor Vehicles Parking and Traffic Rules and Regulations (VR 187-01-02); and

BE IT FURTHER RESOLVED, That the William & Mary Motor Vehicles Parking and Traffic Rules and Regulations will be published in final form in the Virginia Register.

Virginia Administrative Code
Title 8, Agency 115, College of William & Mary

AGENCY SUMMARY

The College of William & Mary in Virginia is the second oldest institution of higher education in the country. It serves over 7,600 students. On the undergraduate level, the university offers curriculum relating to arts and sciences, business administration, computer science, fine arts, and psychology. Master's and doctoral degrees are offered in fields such as American studies, computer science, education, history, law, marine science, physics, and psychology. The main campus is located in Williamsburg and its school of marine sciences (Virginia Institute of Marine Science) is in Gloucester Point. Additionally, the college's Board of Visitors oversees the Richard Bland College. Code of Virginia, Title 23.1, Chapter 28.

The board of visitors or other governing body of every state-supported institution of higher education in Virginia is authorized to establish rules and regulations for the acceptance of students; for the conduct of students and the suspension and dismissal of students who fail or refuse to abide by such rules; for the rescission or restriction of financial aid; for the employment of professors, teachers, instructors and all other employees; and for parking and traffic on property owned by the institution. In addition, the board of visitors or other governing body is authorized to establish programs to promote compliance among students with laws relating to the use of alcoholic beverages and to establish guidelines for the initiation or induction into any social fraternity or sorority. Code of Virginia, Title 23.1, Chapter 13.

Regulations may be obtained from The College of William & Mary, P.O. Box 8795, Williamsburg, VA 23187. Internet address: <http://www.wm.edu/>

Chapter 10. Motor Vehicles Parking and Traffic Regulations

Part I

General Provisions

8VAC115-10-10. Decals.

- A. Decals shall be permanently affixed to the left rear bumper, on the outside of the left rear windshield or displayed as a hangtag on the rear-view mirror. No parking decal may be taped inside the vehicle.
- B. The Parking Services office will recognize an official grace period in August of each school year for "No Decal" violations. During the grace period, members without current decals may only park in the Kaplan Arena Lot. Parking enforcement officers will continue to cite all other violations during the grace period. Student vehicles that are parked in faculty/staff spaces during the grace period will receive a citation for Reserved Space.
- C. Annual decal rates are approved by William & Mary Administration.

8VAC115-10-20. Temporary permits.

- A. Temporary permits are available for periods not to exceed two weeks. After the two-week period has expired, a permanent decal must be purchased. Temporary scratch off permits are available for purchase for daily and/or evening use.
- B. Temporary permits, at no charge and with a one-hour limit, are available for loading and unloading, with a valid decal. Customers without a decal must purchase a day pass.

8VAC115-10-30. Enforcement of parking meters.

In general, campus parking meters are enforced 7:30 a.m. to 5 p.m., Monday through Friday. Meters at Swem Library are enforced seven days a week, 24 hours a day. Multiple citations may be issued at meters.

8VAC115-10-40. Payment of fines.

Payment for fines for wheel locked vehicles may be paid by cash, check or credit card. Payment for all outstanding fines is required in order to remove a wheel lock.

8VAC115-10-50. Faculty/staff lots.

- A. Evening students may park in any faculty/staff (except the Jones Lot), resident or day space after 4 p.m. This option is available to other students with current decals after 5 p.m.
- B. Jones Lot is reserved 24 hours a day, seven days a week for faculty/staff only.

8VAC115-10-60. Miscellaneous provisions.

- A. It is a violation to purchase and distribute additional decals to other individuals or transfer or exchange decals for use on other vehicles. Such cases will be referred to the Dean of Students for appropriate action.
- B. Individuals who are associated with the college and have handicapped tags shall also display a William & Mary parking decal.
- C. Fees for parking decals are not refundable.
- D. The use of hazard lights does not prevent the issuance of a citation if the vehicle is in violation of parking rules.

- E. Temporary/Visitor Permits are available from the William & Mary Police Department (WMPD) when the Parking Services office is not open. All other affiliated customers must park at the Kaplan Arena or Stadium lot and use the Passport Pay-by-phone application to pay for the length of their stay. A valid temporary pass must be purchased the following morning when Parking Services opens.
- G. When vehicle or license plate information changes, please notify the Office of Parking Services, via e-mail at parked@wm.edu, or you may login to the online Parking Portal (www.wm.T2hosted.com) to manage your account profile and update your vehicle information, these changes must be made before the vehicle is driven to campus.
- H. Enforcement hours on campus run from Monday at 7:30 a.m. through Friday at 5 p.m. A decal or temporary pass is required to park in any campus administered space during these times. From Friday at 5:00 p.m. through Monday at 7:30 a.m. a decal is not required, however all other parking regulations remain in effect.

Part II

Registration of Motor Vehicles

8VAC115-10-70. Registration of motor vehicles.

- A. All motor vehicles, including motorcycles and motorbikes, parked on college property shall be registered with Parking Services.. Registration may also be accomplished at the Watermen's Hall Registration Desk for those individuals at the Gloucester Point campus. The operator of each vehicle will be issued an appropriate decal or permit. The purchase of a decal entitles individuals to park only in those areas designated for the respective decal. The purchase of a decal does not guarantee a parking space. Maps highlighting the major lots by type of decal for both the Williamsburg and Gloucester Point Campuses are

incorporated by reference and made a part of this chapter. Decals are effective from Sep 1 through August 31 of the following calendar year. Temporary permits are issued as necessary for durations appropriate with their purpose.

- B. Acceptance of a decal or permit by an individual attests to that person's complete understanding of the College of William and Mary Motor Vehicle Regulations and such person's responsibility to adhere to these regulations. Additionally, it is a violation to purchase additional decals for distribution to other individuals.
- C. Students who misstate their classification category will be referred to the Dean of Students. When there is a change in (i) classification status of a registrant; or (ii) the purpose for which a decal or permit was issued; or (iii) the vehicle registration information, it shall be the sole responsibility of the registrant to notify Parking Services so that the decal or permit may be suitably altered.

Part III

Registration, Eligibility and Classification

8VAC115-10-80. Classification of registrant.

Should registrants or Parking Services disagree as to proper classification, Parking Services may issue a 14-day temporary permit in favor of the registrant, who shall immediately file an appeal with the Parking Appeals Committee. The registrant is solely responsible for a clear statement of the situation in the appeal and for completing a permanent registration immediately upon receiving a decision from the Appeals Committee.

8VAC115-10-90. Categories of decals.

The categories of decals issued by the Parking Service office are listed below.

1. Faculty/Staff: All faculty, administrative personnel, classified and hourly employees of the college are eligible to register motor vehicles. Students who work part-time for the college will have eligibility determined according to their student status.
2. Resident: All individuals classified as students by the Registrar of the college, who reside in college administered housing and have completed 54 semester hours (or 4 semesters), qualify as a resident and will be issued a Resident decal, or students who reside at Graduate Complex, qualify as a resident and will be issued a Resident decal.
3. Day: Those individuals classified as students by the Registrar of the college who do not reside in college administered housing will receive a Day decal upon registering a motor vehicle.
4. Evening: Students whose classes begin after 4 p.m., and who do not reside in college administered housing, qualify as an evening student and will be issued an Evening hangtag. After 4 p.m. they may park in any faculty/staff or student space unless otherwise posted. Evening students who have a frequent need to park on campus before 4 p.m. may purchase the Day decal, as no provision is made for the Evening designation prior to 4 p.m. Evening students who have an occasional need to park on campus before 4 p.m. must obtain a temporary One-Day permit, which allows parking in Day areas only.
5. Restricted use: Students otherwise ineligible to register a motor vehicle, who have obtained permission to have a vehicle registered on campus to park in Kaplan Arena Lot only. Students who have obtained this permission will receive the decal upon registration. Permission may be granted for employment reasons (at least 10 hours per week), for medical necessity or for approved volunteer service (at least 10 hours per week). Please

allow two (2) weeks from submission for the approval process. Requests for exception based on medical necessity, physical disability, or illness requiring transportation off campus should be forwarded to the Office of the Dean of Students. Eligibility determination will be made by a Medical Review Committee and forwarded to Parking Services. Forms for this type of exception are available from Parking Services, the Office of the Dean of Students, and online. Requests for exception based on volunteer service should be forwarded to the Office of Community Engagement in Blow Hall. Forms for this exception may be picked up at the Office of Community Engagement or online. A student who brings a vehicle to the college without prior special permission is in violation of this chapter.

6. General: General decals are intended for non-College affiliated persons who volunteer at the college or have a frequent need to visit and use college facilities. The General decal allows parking in faculty/staff areas only.
7. Motorcycle: Motorcycle decal entitles the registered vehicle to park on campus. Parking of a motorcycle, moped, or motor scooter shall be done ONLY in pre-defined and approved motorcycle parking spaces. Motorcycles parked in any location other than the pre-defined and approved parking areas listed are subject to citation. The cost of the Decal will be $\frac{1}{2}$ of the base rate. For safety reasons, unless otherwise noted, these vehicles are not permitted to park on sidewalks, under building overhangs, inside courtyards, or in buildings, at any time.
8. Osher Lifelong Learning Institute at William & Mary: This decal allows parking at the Kaplan Arena lot and School of Ed student lots 1-5 only. This decal is not valid in metered or timed spaces at any time. Individuals with this privilege may register up to two vehicles. Members with DMV issued handicapped credentials and the OSHER decal may park in

any faculty/staff parking space when standard ADA spaces are not readily available. During W&M School breaks the OSHER decal is valid in student spaces within the Parking Deck and faculty/staff spaces along James Blair Dr. The OSHER decal is not valid in off campus paid parking lots.

9. VIMS: Parking on the VIMS campus is by permit only. Faculty, staff, and students who purchase VIMS only decals may park on the Williamsburg campus at the Kaplan Arena lot and the Plant lot only. A temporary pass is required to park in other parking lots on campus.
10. Carpool: This is an option for Commuter Students Day or Evening, VIMS Students, and Faculty/Staff who desire to carpool and share a hangtag with members of like schemes. The program allows up to three separate owners to register their vehicles under a single carpool agreement. Carpool designated spaces have been placed throughout the campus in high demand areas (Deck, Law School, OD Lot, School of Ed, and Yates Lot).
11. Long-Term Storage: Residents who do not require frequent and regular use of their vehicle may opt to purchase a long term storage decal instead of a main campus decal at ½ the cost. This decal authorizes long-term storage at the School of Ed Lot 3. This decal is not authorized on the main campus at any time without purchase of an additional temporary pass. Students can access the School of Ed lot 3 by way of the WATA Trolley Line daily.

8VAC115-10-100. Temporary permits.

Temporary permits are available on a limited basis for a variety of needs. Examples include loading permits, car in for repairs, or temporary handicaps. These permits are available from 8 a.m. to 6 p.m., Monday through Friday from Parking Services and all other times from the Campus Police. Permits for the employees at the Gloucester Point Campus may be obtained from the registration desk in Watermen's Hall.

8VAC115-10-110. Additional or replacement decals.

An additional or replacement decal may be purchased for \$10.

8VAC115-10-120. Motorcycle/motorbike.

Members of the college community shall register motorcycles, mopeds, and motorbikes. The decal will be issued in accordance with the status of the registrant.

8VAC115-10-130. Lost/stolen decals.

If a decal is lost or stolen, it must be reported immediately to the WMPD, and a new permit must be obtained from Parking Services. Without a proper decal or permit, a motor vehicle parked on college property is in violation of this chapter and is subject to ticketing, wheel locking or towing.

8VAC115-10-140. Display of decals.

Vehicle registration is not complete until the permit or decal is properly displayed. Decals or permits displayed improperly will constitute an improper display violation. Decals shall be securely affixed to the driver's side rear bumper, to the outside of driver's side rear windshield or hung on the rear-view mirror. Affixing the decal to the outside rear windshield facilitates removal at a later date.

Part IV
Traffic Regulations

8VAC115-10-150. Enforcement.

- A. The WMPD is authorized to enforce moving violations which will be returnable in the respective district courts.
- B. Barriers may be placed by the WMPD at any point deemed necessary for specific temporary use - most often emplaced for safety reasons and traffic flow. Removal of any such barriers without permission, except for passage of emergency vehicles, is prohibited.
- C. In all cases, the directions of a police officer or parking enforcement officer supersede the regulations posted by sign or signal.

8VAC115-10-160. Vehicles on sidewalks.

Riding, driving, or parking any vehicle, other than emergency vehicles, on the sidewalks of the college is prohibited. Any other use is by special permission from the Campus Police or Parking Services.

8VAC115-10-170. Applicability of Part IV.

8VAC115-20-150 and 8VAC115-20-160 apply equally to any person parking or operating a motor vehicle on college property.

Part V
Parking Regulations
Article 1
General Provisions

8VAC115-10-180. Decal or permit required; exceptions.

A decal or permit is required to park on college property 24 hours a day, Mondays at 7:30 a.m. through Fridays at 5:00 p.m., except in metered or timed spaces. Anyone may park in metered spaces and must pay the meter as posted.

8VAC115-10-190. Parking/no parking designations.

A. Signs have been posted to designate the following parking areas.

Visitors

Faculty/Staff

Day

Resident

Time Limit spaces

B. The following designations are reserved and enforced 24 hours a day, seven days a week:

Firelanes

No Parking zones

Handicapped spaces

“Reserved For” spaces

Official Vehicle spaces

Service Vehicle/Vendor spaces

Jones Hall Lot

Meters at Swem Library and Ewell Circle.

C. "No Parking" signs indicate an emergency lane, and no parking is permitted day or night.

Parking in any portion of a No Parking zone for any length of time is a violation of this chapter.

D. Spaces reserved for Service or Vendor vehicles may only be used by vehicles displaying Service or Vendor permits issued by Parking Services. Employees of the college who have Service or Vendor permits must also have a William and Mary parking permit if they are using their personal vehicle and parking in a Service or Vendor space.

E. Parking space designation as to faculty, staff, and students will be observed when the college is in session. Parking space designations will not be observed during administrative holidays posted in the college catalog, unless otherwise posted. All other traffic and parking regulations will be enforced throughout the calendar year. Students in doubt should contact Parking Services, ext. 14764.

F. The purchase of a decal does not guarantee a specific parking space, but affords the registrant an opportunity to park in authorized areas when parking space is available. The responsibility for finding a proper parking space rests with the vehicle operator.

8VAC115-10-200. Vacating certain lots.

A. The Stadium/Bryan lot, Sadler Center lot, Old Dominion lot, Camm Lot, Yates-Randolph lot, James Blair lot and spaces on James Blair Drive, the Compton Lot, the Kaplan Arena

lot, Rear GGV lot, Harrison Ave, the Laycock Center Lot, and the designated and signed spaces on College Terrace and Dawson Circle must be vacated no later than 7:00 AM on the Saturdays of home football games. Students with approved exceptions issued Restricted Use Decals are not required to move their vehicles from the Kaplan Arena (formerly W&M Hall) lot.

- B. Other recurring events on campus will require certain lots to be vacated. These events include Homecoming, W&M Charter Day, Colonial Relays, Commencement Ceremonies, Neighboring County HS graduations. Signs will be posted to designate the affected areas. Vehicles in violation may be towed at owner's expense.
- C. Brooks Street around Kaplan Arena, the Yates/Randolph lot, the Compton lot, and the Commons lot must be vacated two hours prior to the start of all home men's basketball games. Vehicles in violation may be ticketed and/or towed at the owner's expense. Non-affiliated guests may park in the Kaplan Arena lot and use the Passport Pay-by-phone system to initiate a parking session for the duration of their stay.

8VAC115-10-210. Parking on grass.

Under no circumstances may any motor vehicle, other than police or emergency vehicles, be operated or parked at any time on the walkways, landscape, grass, or areas designated for grass, without a permit from Parking Services or Campus Police.

8VAC115-10-220. Special events.

For special events or programs requiring additional support from parking staff such as reserved spaces, lot and traffic management, signage, security and enforcement, and additional

fees may be assessed regardless of the day of the week the event is held. William & Mary Conference & Event Services can assist in coordinating events on campus. Members of the college community should be alert to posted notices because vehicles in violation may be towed at owner's expense.

8VAC115-10-230. Motorcycles.

Parking or storing motorcycles or motorbikes inside a building or in or near an entrance way is prohibited. In order to comply with state regulations and to preclude possible fire hazards, motorcycles and motorbikes will be ticketed and removed at the owner's expense when so parked. Cycle owners are asked to make use of the motorcycle parking spaces throughout campus.

8VAC115-10-240. Double parking.

Double parking and parking against the flow is never permitted.

8VAC115-10-250. Bumper blocks.

Bumper blocks (curb blockers), if present, establish parking spaces. This is especially true in gravel lots where parking is only permitted at bumper blocks.

8VAC115-10-260. Disabled vehicles.

The driver of any disabled vehicle is subject to ticketing. If the vehicle cannot be removed immediately, the driver should notify the WMPD or Parking Services at once and take steps to remove it without delay. A note left on a disabled vehicle does not preclude ticketing.

8VAC115-10-270. Handicapped parking.

Parking in spaces designated as "Handicapped Parking" is limited exclusively for that purpose. Vehicles parked in these spaces without proper authorization may be towed at the owner's expense. Members of the college community who have handicap permits must also display a current W&M parking decal or permit.

Article 2

Gloucester Point Campus Parking

8VAC115-10-280. Parking by permit only.

Parking at the Gloucester Point Campus is by permit only. All employees are entitled to park in any non-reserved space. Provisions for handicapped parking are set out in 8VAC115-20-320, and visitor parking is set out in 8VAC115-20-330.

Article 3

Williamsburg Campus Parking

8VAC115-10-290. Faculty/staff parking.

All faculty, administrative personnel, classified and hourly employees of the College and contract employees are eligible to register motor vehicles under faculty/staff status. Members of the faculty and staff are encouraged not to drive their vehicles point-to-point on campus. Faculty and staff may park only in faculty and staff areas, the Kaplan Arena Lot or the Law School overflow lot, near the cemetery, on South Henry Street. Faculty and staff may register up to three (3) vehicles against a single hangtag. Multiple hangtag decals will be sold at the

full tiered price. With the purchase of a hangtag an additional motorcycle or convertible adhesive decal may be purchased at a discounted rate only.

8VAC115-10-300. Commuter Student (Day) parking.

- A. Students who do not reside in College administered housing will receive a Commuter (Day) decal upon registering a motor vehicle. Students with day decals may park in areas marked as day student and permit only. They may also park in resident and faculty/staff spaces on the weekend and in other areas at times as noted in the Rules and Regulations.
- B. Students must move their vehicles from faculty/staff and resident spaces by 7:30 a.m. Monday through 5:00 p.m. Friday.
- C. Students whose classes begin after or have no need to park on campus before 4:00 p.m. and who do not reside in College administered housing qualify for the Evening decal. After 4:00 p.m., they may park in any faculty/staff, resident, day student or permit only space unless posted as faculty/staff at all times or otherwise signed for special use.
- D. Evening students who have a frequent need to park on campus before 4:00 p.m. may purchase the Day decal, as no provision is made for the Evening designation prior to 4:00 p.m. Evening students who have an occasional need to park on campus before 4:00 p.m. must obtain a temporary Day pass, which allows parking in Day and "Permit Only" areas.
- E. Both day and evening students may register multiple family owned vehicles under a single hangtag.

8VAC115-10-310. Resident student parking.

- A. College Residents are defined as all individuals classified as students by the Registrar of the College, who reside in College administered housing and have completed 4 regular

semesters (fall, spring). Grad Plex residents qualify for a gold Grad Plex decal. In addition to the rules that apply to a yellow resident decal, this decal is also valid on the second and third level of the Parking Deck marked as Day Student Parking Only.

- B. Resident students are encouraged to abstain from driving to class to help reduce parking congestion and to provide other residents across campus with availability of resident spaces.
- C. Students with resident decals may park in resident only spaces at any time and in faculty/staff and day student spaces on the weekends and after 5:00 p.m. Monday through Friday, unless otherwise noted. Vehicles must be removed from faculty/staff and day student spaces by 7:30 a.m., Monday through Friday. Resident students may only register one family vehicle.

8VAC115-10-320. Handicapped parking.

Permanent accessible license plates or placards may be obtained from the Division of Motor Vehicles. Faculty and staff with disabilities who need a temporary accessible parking placard (hang tag) should contact the Office of Diversity & Inclusion. Students requiring temporary accessible parking may make application through the Office of the Dean of Students, and employees at the Gloucester Point Campus should contact the Manager of Administrative Services (Watermen's Hall). Vehicles displaying appropriate handicap plates or placards may park in any ADA marked, faculty/staff, or student space. Those individuals affiliated with the college who have handicapped parking permission must also display a William & Mary parking decal.

8VAC115-10-330. Visitor parking.

- A. Visitor spaces are provided only for individuals outside the college community who have legitimate business on campus. No vehicle which has, or should have, a decal or permit is considered a visitor. Spaces reserved for "Visitors To" are intended for non-College affiliated individuals only. Permits to use these spaces may be obtained from the respective office visited.
- B. Visitors with visitor passes may park in any faculty/staff or student spaces unless the area is reserved at all times. Visitor passes are not valid at metered or timed spaces. Faculty and staff at both campuses (Main campus and Gloucester Point) who have visitors coming to the campus should contact Parking Services to buy appropriate passes ahead of their arrival. Anyone coming to campus frequently is not considered a visitor and must purchase a decal to park on College property, or park in the Kaplan Arena lot and initiate a pay by phone session for the appropriate time and space used.

8VAC115-10-340. Metered spaces.

Metered spaces are located in high demand areas and are intended for high turn-over. Anyone, except students with Restricted Use permits, may park at a meter, and everyone (except those displaying a valid handicapped tag) must pay. Meters are enforced Monday - Friday. Customers with a decal or visitor's pass are not required to pay at a meter. All other customers should continue to pay according to usage.

Part VI
Enforcement

8VAC115-10-350. Enforcement authority.

Campus Police will enforce all appropriate provisions of the motor vehicle laws described in the Code of Virginia, the City of Williamsburg Traffic Regulations and the Motor Vehicle Regulations of the College of William and Mary. Parking Services will enforce the Motor Vehicle Regulations of the College of William and Mary.

8VAC115-10-360. Additional citations for same violation.

- A. With the exception of timed spaces, meter violations, and loading zones issuance of citations will be limited to one (1) per **calendar day**, unless the vehicle has been moved.
- B. Citations issued are tracked by license plate and each license plate will be issued one (1) no decal warning. No decal warnings once issued are ***not reset*** and may not be appealed. Each no decal violation after the warning will carry a fine as specified in the Schedule of Fines. Level I Offenses are graduated fines with an increasing value for subsequent violations; they are the only fines that reset to the 1st offense on September 1 each year. Multiple citations may be issued at meters and timed spaces. For example, at a 30 minute meter, tickets for expired meter violations may be issued at least 30 minutes apart. Meters by design will only accept the required coinage for maximum time (30 Min, 1hr, or 2hr). Overfeeding a meter will not register additional time beyond the maximum limit posted. Vehicles in pay-by-space lots will be cited for “No decal” if found parked in an unpaid space.

8VAC115-10-370. Consistency of enforcement.

Every attempt will be made to maintain consistency of enforcement. Lack of space in the immediate proximity to a building or observation that others have parked in violation of the regulations will not be considered a valid excuse for violating any regulation. Hazard lights do not exempt a vehicle from ticketing if they are in violation of a parking rule.

8VAC115-10-380. Responsibility for violation.

The decal owner will be held responsible for any violation involving the vehicle, even if the violation was committed by another. In instances where there is no decal, the registered owner will be considered responsible for the citation unless a different determination can be made.

8VAC115-10-390. Removal of vehicle.

The WMPD and Parking Services are authorized to remove by towing or immobilize by wheel lock, at the owner's expense, any vehicle which is in violation of these regulations. Vehicles that are towed off campus will be held at the owner's expense at a private, licensed garage until the owner presents a paid receipt from the College for outstanding fines, proof of ownership of the vehicle and payment of the towing fee. In addition, the garage may also charge a storage fee.

8VAC115-10-400. Payment of fines.

A. Citation fines must be paid or appealed within 10 working days from the date the ticket is issued.

B. The owner or operator of a vehicle that has been wheel locked must contact parking services within 48 hours, pay any outstanding fines, and the additional wheel lock fee before the wheel lock will be removed. Unauthorized removal or tampering with a wheel lock will result in a fine. Such action may also result in criminal prosecution, felonious charges and/or judicial action by the Honor Council. Vehicles wheel locked in excess of 48 hours will be towed off campus.

8VAC115-10-410. Schedule of fines; payment policy.

- A. Schedule of fines is reviewed and approved by William & Mary Administration.
- B. The following policy establishes the accepted payment methods for outstanding parking fines:
 - 1. The T2 Online Parking Portal is the primary and most convenient way to pay a citation. The online portal accepts VISA, MC, and Discover Card transactions. Payment may be made at the Parking Services Office by cash, personal check, cashier's check, money order, credit card (Visa, MasterCard, Discover, and American Express) or William and Mary Express card. Coins must be appropriately rolled and are not accepted in bulk. Checks will not be accepted from seniors after May 1, through graduation.
 - 2. Owners of vehicles that have been towed must pay all outstanding fines and fees using payment methods described in item 1 above. Additionally, the owner must pay the towing fee.
 - 3. Employees at the Gloucester Point Campus may mail checks, money orders or cashier's checks to the Office of Parking Services. Checks should be made payable

to The College of William & Mary. Alternatively, they may use the courier provided by Administrative Services.

C. Wheel lock policy.

1. Vehicles become liable for wheel lock when there are three (3) outstanding tickets which have not been paid or appealed within 14 days of the date of the latest ticket or two (2) tickets which have not been paid within 30 days of the date of the later ticket, when citation debt exceeds \$350, anytime a vehicle is found displaying lost/stolen decals or fraudulent decals may also result in wheel lock.
2. Vehicle owners with a vehicle that is wheel locked must pay all outstanding fines, plus a wheel lock fee, within 48 hours of the wheel lock. Acceptable payment methods are cash, check, and money order, Visa or MasterCard. The William and Mary Express card may only be used when paying at Parking Services. Vehicles wheel locked in excess of 48 hours will be towed.

8VAC115-10-420. Appeals.

- A. Community members at the College of William and Mary have the right to appeal any parking citation within 10 days of receiving the citation. The operation of a motor vehicle on campus constitutes implied consent for responsibility for parking violations. The Parking Appeals Committee is, by Presidential appointment, the highest authority on campus in parking matters and consists of members from all college constituencies. The Committee endeavors to handle submitted appeals within 30 days of receipt.
- B. A successful appeal may result in repealing of the ticket or a decrease in fined amount. A driver wishing to appeal a citation may submit an online appeal within 10 days of

receiving the contested citation. A citation may only be appealed once, and all committee decisions are final. You may request a second appeal provided there is new relevant information not available during the original appeal. Verbal appeals will not be considered. Members may choose to appear in person before the committee, under these circumstances a written appeal is still required and must state the desire to appear in person.

- C. If an appeal is not made in a timely manner or if payment is past due, the appeal will be denied. Failure to appear at a scheduled hearing without justifiable cause will be grounds for appeal denial without reconsideration.
- D. The following situations and reasons are not acceptable grounds for appeal and will not be considered by the Parking Appeals Committee: Ignorance of regulations, Lost ticket, Citation for parking in accessible space, Citation for stolen, altered, or fraudulent decal, Convenient space availability, Only parked illegally for a few minutes, Financial hardship, Bad weather or darkness, Running late, Unread or misunderstood signs.

8VAC115-10-430. Revocation.

- A. The rights to have a vehicle on campus may be revoked by the Parking Appeals Committee, Dean of Students, or Director, Parking & Transportation Services.
- B. A maximum of five (5) citations which have been paid are permitted within the decal year without additional punitive action. On receipt of the sixth citation during the decal year, in addition to the fine, the offender's registration is subject to revocation and the individual may be prohibited from parking a vehicle on campus for the year outside of Kaplan Arena Lot, unless reinstated. Persons with restricted

privileges and the Restricted Use Decal must park in the Kaplan Arena lot only from Monday at 7:30 a.m. until Friday at 5:00 p.m. They may not park in metered or timed spaces at any time, unless the space is also designated as a load/unload space then the specified time limit applies.

- C. Reinstatement of motor vehicle registration rights which have been revoked for any reason, can be granted by the Appeals Board upon direct written application by the offender to the committee.
- D. If decals or permits are revoked, no refunds shall be made.